

2 **Patterned Platelets**

3

4

Inventor

5

Sadeg M. Faris

6

Background of the Invention

7

8 **1. Field of the Invention**

9 This invention relates to patterned platelets. More particularly the invention
10 relates to platelets having specified shapes, sizes and optical properties, optionally with
11 markings thereon, such that the platelets can be applied to objects to tag and identify the
12 objects with unique codes. The platelets can be pigments in inks or paints applied to an
13 object. The platelets can also be mixed in with other materials to tag them such as in
14 liquids or solids.

15 **2. Description of the Related Art**

16 Counterfeiting of articles and documents has been a problem in the past. Further,
17 it is also useful in many industries to be able to trace of the origin of goods and
18 documents. In the past, many methods have been introduced to tag articles such that they
19 can be easily and positively identified in the future and to prevent tampering or
20 counterfeiting activities. Some of these methods including using flop colors and
21 holograms on platelet pigments. For example, the recently issued U.S. currency has flop
22 colors.

23 Presently most platelet pigments are made in sheets or films and then broken into
24 random sized fragments buy various techniques such as sand grinding, ball milling, two
25 roll milling, pestle and mortar or freezing and cracking. These fragments are then sieved
26 by screens or wet sieving or air classification to sort the sizes of the fragments. However

1 the shapes of the fragments are random and the size of the fragments has a wide standard
2 deviation. Further, the various sieving steps add to the cost of processing the platelets.

3 Marking of platelets of even size and shape was not used to identify those
4 platelets and use the platelets in codes to help identify articles and substances and deter
5 counterfeiters.

6 One recent development was to make controlled shape and size platelet pigments
7 by molding polymers as shown in patent WO98/12265 however the molding process is
8 difficult to use, wastes a lot of expensive material and is costly. Another drawback is
9 removing odd shaped, notched or angled pieces from the mold.

10 Patterned platelets, having controlled shapes and sizes, have not been coded by
11 spatial features, such as raised or lowered portions of the patterned platelets with
12 identifiable shapes on the platelets or spectral features, such as color and polarization, to
13 deter counterfeiters.

14 Low cost, easy to make, coded platelets, which can be easily applied to articles or
15 documents such that they are easily detected are needed.

16 Three dimensional shaped patterned platelets have not been used in the past.
17 Three-dimensional platelets add more possibilities for coding with more shapes and the
18 shapes may be useful for reflecting or refracting light.

19 Low cost, easy to make, coded platelets, which can be mixed into liquids and
20 solids to identify them are needed. The platelets can be used in inks and paints applied to
21 objects to identify the objects.

22 Most prior art Cholesteric Liquid Crystal (CLC) pigments are made by actinic
23 methods on sheets of material. The sheets are then broken up into fragments of random
24 sizes and then sieved to obtain particles of approximately the same size and to eliminate
25 platelets which are too small. This process results in a lot of wasted materials which are
26 costly to make.

27 It will be appreciated that prior art platelet pigments cannot cost effectively
28 produce the ultra-narrow size distribution, have not taught low cost easy to make the

1 production of platelets with regular shapes, and they have not taught how pigments can
2 be endowed with markings or codes using spatial marks, polarization or spectral marks.

3

4

Summary of the Invention

5

6 This invention relates to producing platelets, which have a uniform shape and
7 size. Platelets produced with a uniform size do not have to be sieved, the resulting
8 standard deviation in the size of the platelets is smaller than the platelets produced with
9 random sizes and then sieved. When platelets are produced with a small standard
10 deviation of size, codes comprising mixes of several sizes of platelets in various
11 percentages can be made for tagging objects.

12 Similarly when distinct shapes of platelets are made, they can be applied to
13 objects, to tag those objects. For example, a mix of 50% circles and 50% squares can be
14 one code. The letters B, K, Y could be another code. A mix of numbers, letters and
15 shapes could be yet another code. Any combinations unique to a product can then
16 identify that product when platelets using that code are applied to or embedded in the
17 product.

18 In addition to the size and shape of the platelets, platelets can also be made with
19 spatial markings, either raised or lowered portions, on the platelets such as having letters
20 or shapes etched on the platelets. The platelets can also have apertures in the platelets or
21 notches on the perimeter of the platelets to further distinguish them and make them more
22 useful for tagging.

23 The platelets can also be made to reflect distinctive portions of the spectrum of
24 light to further identify the platelets for tagging purposes. Each platelet can have one or
25 more sections of its surface reflect different spectral codes. CLC platelets can be made to
26 selectively reflect wide or narrow bands of light. For example, platelets can be made to
27 reflect red or green or blue or white light or even light not in the visible spectrum. A
28 platelet can have one section reflect green right circularly polarized light and another
29 section reflect blue left polarized light. The light reflected can be used to easily identify

1 the platelets used. Patterns of colors of light reflecting pigments can be used to further
2 code the platelets. By using dopant materials in the CLC platelets, spectral band
3 absorbing identifiers can be placed in the platelets further coding the platelets to be used
4 as tags.

5 The sizes, shapes and spatial markings on the platelets can be made by masking
6 when an actinic process of CLC production is used.

7 Other techniques for producing specified shapes and sizes of platelets include the
8 use of masking by screen printing, masking by ink jet printing, gravure printing, chemical
9 etching, ablating, and laser cutting methods all of which are well know in the art. Any
10 additive or subtractive methods used for making the patterned platelets, either alone or in
11 some combination, are within the scope of the invention. To make three dimensional
12 shaped patterned platelets stereolithography methods of adding and subtracting layers of
13 material may be used.

14

15 **Objects of the Invention**

16

17 It is therefore an object of this invention to provide platelets having predetermined
18 regular shapes.

19 Another object of this invention is to produce platelets having substantially
20 identical lateral dimensions or areas, resulting in a very narrow platelet size distribution.

21 Another object of this invention is to provide platelets with substantially identical
22 sizes and shapes in two or three dimensions.

23 Another object of this invention is to reduce the cost of producing pigments by
24 eliminating milling and sieving steps.

25 Another object of this invention is to eliminate production of platelets outside a
26 desired range, thus reducing waste.

27 Another object of the invention is to produce patterned platelets without using
28 expensive hard to use molds.

1 Yet another object of this invention is to provide platelets of known size and
2 shape in combinations of different sizes and or shapes to form codes used for tagging
3 objects.

4 Yet another object of this invention is to provide platelets of known size and
5 shape having spatial markings on the platelets for additional identification codes.

6 Yet another object of the present invention is to provide platelets of known size
7 and shape having identification codes created by means of polarization or spectral
8 encoding.

9 Yet another object of this invention is to provide platelets for general printing and
10 in particular, security printing wherein the platelet shape, size spatial, spectral and
11 polarization encoding identifies the origin of the ink.

12 It is a further object of the invention to provide codes wherein inks or paints with
13 coded platelets are placed in specified locations on a product thus forming another code.

14 It is yet another object of the invention to provide optical properties in the three
15 dimensional shaped patterned platelets.

16 Other objects, advantages and novel features of the present invention will become
17 apparent from the following detailed description of the invention when considered in
18 conjunction with the accompanying drawings.

19

20

Brief Description of the Drawings

21

22 Fig. 1A is an illustration of prior art platelets having random shapes and sizes.

23 Fig. 1B shows a size distribution curve representing a large number of platelets
24 having different shapes and sizes as shown in Fig. 1A.

25 Fig. 2A is an illustration of groups of platelets each having the same size and the
26 same triangle shape.

1 Fig. 2B is an illustration of groups of platelets each having the same size and the
2 same rectangle shape.

3 Fig. 2C is an illustration of groups of platelets each having the same size and the
4 same circular shape.

5 Fig. 2D shows a size distribution curve representing a group of platelets all having
6 the same shape and size. It can also represent different shapes but identical mean area A .

7 Fig. 2E is an illustration of narrow size distributions of a mixture of platelets
8 having identical shape but different mean areas A_1 , A_2 , and A_3 . It can also illustrate a
9 mixture of different shapes and different mean areas A_1 , A_2 , and A_3 .

10 Fig. 2F is an illustration comparing the standard deviation of flake sizes made by
11 prior art methods of fracturing and sieving to the present method of producing platelets of
12 uniform size.

13 Fig. 3A is an illustration of a circular platelet having added spatial markings or
14 codes inside its boundary.

15 Fig. 3B is an illustration of a triangular platelet having added spatial markings or
16 codes inside its boundary.

17 Fig. 3C is an illustration of a platelets having added spatial marking or code inside
18 or on the boundary.

19 Fig. 4A shows representative spectral curves showing the reflectivity of platelets
20 for red, green and blue wavelengths of the incident electromagnetic radiators.

21 Fig. 4B shows a representative spectral curve showing the reflectivity of platelets
22 for white wavelengths of the incident electromagnetic radiators.

23 Fig. 4C shows representative spectral curves showing the reflectivity of platelets
24 for invisible wavelengths of the incident electromagnetic radiators.

25 Fig. 4D shows the reflectivity curve of platelets for different wavelengths on
26 which narrow absorption bands are superimposed representing a spectral marking or
27 coding.

28

Detailed Description of the Preferred Embodiments

The prior art, such as in U.S. patents 5,415,950, 5,500,313 and 5,362,315, teach methods of producing platelet pigments 10 which have random sizes and random shapes as illustrated in Fig. 1A. These platelets 10 are made by making color producing thin films coated on large substrates. These color films are then removed from their substrates and broken into smaller fragments. Several milling steps are used to produce yet smaller fragments, flakes or platelets having thickness ranging from about 1 micron to about 20 micron and lateral dimensions ranging from about 3 microns to about 1,000 microns. Platelets are defined as flat structures with lateral dimensions at least a factor 2 larger than the average thickness, preferably more than 5 times larger than the average thickness. This ensures that when the platelets are applied to a substrate they will lay flat. As defined herein, patterned platelets, as used in this invention, are on the order of about 1 micron to about 1000 microns laterally.

In order to sort the platelet sizes and to achieve a desired size range of size distribution, the prior art, in the patents listed above, use sieving steps. For example, standard mesh 200 has 76 μm openings. Milling and sieving steps have to be repeated to achieve the desired size range or distribution. These sieving and milling steps lead to a mean area or size distribution which is illustrated by curve 15 in Fig. 1B, which is difficult and costly to make narrow. The material that is much smaller than the mean size is discarded because it would lead to degraded color properties or because its particles have an aspect ratio about or less than 2 and may not be considered flat platelets. Therefore, the prior art process achieves either a relatively broad mean area distribution, which compromises the quality of the pigment, or a relatively narrower distribution which requires more costly steps, and the need to discard material outside the desired distribution. Prior art platelets and methods of producing them suffer from these limitations.

Shaped platelets have been made as shown in patent WO 98/12265, which discloses a method of making shaped platelets by molding. The process is not easy to use, is expensive and does not show how to add spatial or spectral makings on the platelets.

1 Further, it is not practical to mold patterned platelets with notches or other angled shapes
2 because of the difficulty in removing such platelets from the mold. In addition, the
3 molding process shown does not disclose the ability to make three dimensionally shaped
4 patterned platelets. The molding process shown also wastes a lot of expensive material
5 increasing the cost of the molded patterned platelets.

6 The present invention overcomes these limitations by producing patterned
7 platelets which, are easy to make, can be make in three dimensions, and the process of
8 making the patterned platelets does not waste material. Further, the patterned platelets of
9 the present invention have spatial makings and/or spectral markings, in addition to the
10 well-defined shapes and sizes. With well-defined shapes and sizes of platelets being
11 produced, repeated milling and sieving steps are eliminated and wasted material is
12 avoided. This reduces the production cost. The mean area of the platelets is illustrated by
13 curve 25 in Figure 2D. Figure 2F shows a comparison of curves 15 and 25 illustrating the
14 smaller standard deviation of mean area using the platelets made to a specified size and
15 shape as opposed to the milling and sieving process of the prior art.

16 With regular shapes and sizes of platelets, coding by various combinations of
17 shapes and sizes becomes possible. Figures 2A-C show three representative groups of
18 platelets, triangular 20, rectangular 22, and circular 24 respectfully. It is understood that
19 other shapes, letters, numbers or other configurations for the platelets are possible. In
20 each group, as shown in Figures 2A-C the platelets have substantially the same lateral
21 dimensions and the same area. This leads to a very narrow size distribution as illustrated
22 in Fig. 2D. This distribution has a mean area, \bar{A} of the platelets with a standard deviation
23 σ such that $\sigma/\bar{A} \ll 1$. A mixture of platelets can be produced from different groups of
24 shapes having substantially the same areas. Thus a code comprising 1/3 triangles, 1/3
25 rectangles and 1/3 circles all having the same mean area \bar{A} , can be produced to tag an
26 object. The percentages of the shapes can be changed to create another code.

27 Fig. 2E illustrates a mixture of platelets having identical shapes, for illustration
28 lets pick all rectangles, but having a different narrow size distribution, with mean areas
29 and standard deviations, \bar{A}_1 , \bar{A}_2 , and \bar{A}_3 , σ_1 , σ_2 , σ_3 , respectively. The code for the
30 platelets is now 1/3 rectangles of mean area \bar{A}_1 , 1/3 rectangles of mean area \bar{A}_2 , and 1/3

1 rectangles of mean area \bar{A}_3 . The code can easily be changed by changing the percentages
2 of rectangles found in each mean area grouping such that 10% is in \bar{A}_1 , 30% in \bar{A}_2 , and
3 60% in \bar{A}_3 . Other codes can be made up using different shapes or combinations of
4 shapes in each mean area grouping. Thus, hundreds of different combinations of codes
5 are possible with only three shapes and three sizes with all the percentages of mixes
6 changing. Obviously more shapes and sizes can be used in combination for more codes.

7 With well-controlled shapes and sizes of platelets, etchings or apertures in the
8 surface of the platelets can spatially further distinguish the identity of the patterned
9 platelets making counterfeiting even more difficult and expanding the number of codes
10 possible.

11 As illustrated in Figure 3A, circular platelet 24, has a T 31 etched on its surface, it
12 also has two bars 32 under the T and a circle 33 under the bars. Thus, the circle platelet
13 24 has a unique spatial marking or etching on it to further identify it. A new code with
14 circles having a T, two bars, and a circle etched on it of a specified size is now possible.
15 The spatial markings could be apertures in the circle 24 instead of etchings on the surface
16 thus providing another distinguishing feature for yet another code. The spatial markings
17 could also be raised areas on the patterned platelets.

18 Similarly figure 3B shows triangle 20 with circles 24 etched on its surface.

19 Figure 3C shows an irregular shape 28 with a V shaped notch 36 in the perimeter,
20 a square notch 37 in the perimeter, and a U shaped notch 38 in the perimeter. The
21 irregular shape 28 has a rectangle 35 etched on it to further uniquely identify it and thwart
22 counterfeiters.

23 In addition to the size and shape combinations listed above the platelets may also
24 have different spectral coding such as colors. Thus, another code can be red triangles of
25 mean area \bar{A}_1 , blue rectangles of area \bar{A}_2 and green circles of mean area \bar{A}_3 . The
26 combination of colors with sizes and shapes and percentages now makes many more
27 combinations of codes possible.

28 A further distinguishing feature is having more than one spectral marking on a
29 patterned platelet's surface. Thus for example in Figure 3B the triangle 20 could have

1 circles 34 of the same or different colors or polarizations, which would further code the
2 platelets. Many other combinations of spatial and spectral markings are possible on the
3 platelets.

4 The colors can be obtained by pigments which absorb colors or by pigments
5 which reflect colors. Figures 4A to 4D show spectrums from reflective cholesteric liquid
6 crystals CLC which can make up the platelets. The CLC materials can be made to have
7 sharply defined reflective spectra as shown in Figure 4A. The colors are distinct with no
8 overlapping reflected wavelengths. As shown the platelets can now be spectrally coded
9 by color with blue, red, green or any other color in addition to size and shape.

10 CLC materials can also reflect white light as shown by the reflective spectra in
11 Figure 4B or reflect invisible portions of the spectrum as shown in Figure 4C. The spectra
12 can be easily detected and analyzed to help identify the code used by simply shining a
13 light on the object having the spectrally coded platelets and observing the light reflected
14 therefrom. When CLC reflective materials make up the platelets a dopant can be added to
15 the CLC to absorb a portion of the light that would ordinarily be reflected. As shown in
16 Figure 4D this further spectrally codes the platelets and prevents counterfeiting.

17 Other optical properties such as polarization can be used to help identify the CLC
18 platelets. CLC platelets circularly polarize light such that either a right handed or left
19 handed light will be reflected.

20 Other color characteristics such as pearlescence, iridescent colors, flop colors
21 (colors which change with the viewing angle) and metallic luster can further optically
22 identify a platelet.

23 A code of platelets with a wavelength observable at a particular viewing
24 angle is another variable which can be added to the mix of combinations of codes.

25 Another code can be to have a row or matrix with a large number of spaces for
26 inserting numbers, letters, shapes, or coded patterned platelets with different identifying
27 spatial spectral or polarization markings in there assigned positions. The code being the
28 presence or absence of the expected coded patterned platelets in each position. For
29 example if a line having 64 positions was used the presence or absence of the various

1 shapes of patterned platelets in their assigned spaces would simulate a 64 bit line of
2 computer code. When the position can contain the correct platelet or no platelet the
3 system is binary. When the system can have lets one of x different shapes, with different
4 spatial makings or different spatial markings a code with a number equal to, x to the 64th
5 power, combinations is possible. The amount of information carried is such a system is
6 very large. A large number of lines of such codes not only would secure a document
7 against counterfeiters but could carry large amounts of information in a small space.

8 As shown above an almost infinite number of combinations of shapes, sizes,
9 etchings, notches, apertures, colors, and spectra absorbing dopants can be used in such
10 combination as to uniquely identify any object by the platelets used thereon or therein.
11 Colors can be mixed to any desired hue. Colors can be obtained by additive primaries
12 (red, green, blue) or subtractive primaries (cyan, magenta, yellow). The colors can be flop
13 colors, pearlescent colors, or iridescent colors. The colors may be obtained by CLC
14 materials, or by holographic or interference techniques.

15 Other identifying characteristics of the patterned platelets may be their magnetic
16 or static electricity properties which will help to magnetically or electrically separate or
17 detect the patterned pigments. For example a magnetic ink may be made with the
18 patterned pigments such that a magnetic ink reader can be used as part of the tag
19 identifying process.

20 Patterned platelets can be made by a large variety of methods and by using a large
21 variety of substances either alone or in combination. The materials may be in one layer or
22 stacked in many layers. The patterned pigments may be flat or layered in three
23 dimensional shapes such as prisms or spheres or cubes. The patterned platelets may have
24 reflective surfaces as one layer on one or more surfaces of the patterned platelet. The
25 patterned platelets may have shapes combined with reflection or refraction properties
26 used in optics such as parabolic reflectors, prisms, mirrors, lenses, diffraction gratings,
27 spherical reflectors.

28 For example a thin sheet of aluminum can be cut, etched, or ablated to leave well-
29 defined shapes and sizes of aluminum. Similarly a substrate can be masked by such
30 techniques as silk screening, gravure printing or inkjet printing and aluminum deposited

1 on the substrate to form the shapes and sizes of the patterned pigments. Layers of other
2 materials, pigments (reflective or absorptive) may be applied to have multilayered or
3 stacked platelets. Many techniques are known for depositing or removing materials in
4 precise fashion. Any of these techniques may be employed for use with this invention.
5 Stereolithographic techniques can be used to make three-dimensional patterned platelets
6 with three dimensional shapes.

7 Examples of techniques for depositing material are electroplating and vapor
8 deposition.

9 Examples of techniques for removing materials include etching, ablating, use of
10 solvents to remove material.

11 For additive methods of making patterned platelets a substrate is prepared, and a
12 thin film of a substance is added by painting, or depositing the substance through a mask
13 or stencil of the desired shape. The substance is then fixed, dried cured or otherwise
14 adhered and the process repeated for other layers of the same or different substances until
15 all desired layers are added. The same or different masks may be used for each layer. For
16 three dimensional shapes different masks can be used for each layer to form the desired
17 shape. The various layers added may apply spatial or spectral markings to the platelets.
18 The patterned platelets are then removed from the substrate and are the correct size and
19 shape for immediate use without having to use milling or sieving steps to obtain the
20 correct sizes needed.

21 For subtractive methods a thin film of material is deposited on a substrate
22 and a mask or a defined pattern is applied. The mask can be applied by a photo resist,
23 photo lithography, ink jet printing, gravure printing, silk screen printing or other methods.
24 the unprotected areas of the material are then etched, ablated or otherwise remove
25 material from the unprotected area. The mask can then be removed and a different mask
26 applied if further material form a different area is to be removed. In this manner layers
27 may be separately removed to form a three dimensional shape or to form patterned
28 platelets with notches, apertures, or spatial markings on their surfaces.

1 Any combination of additive and subtractive steps may be used to produce
2 patterned platelets with the desired combinations of spatial markings and spectral or
3 polarized markings thereon.

4 Using well-known techniques layers of materials may be built up to tailor the
5 patterned pigments to specific uses. Magnetic materials may be used as a sole material or
6 as a layer to make the patterned pigments magnetic for magnetic separation or sorting or
7 for magnetic reading.

8 Hydrophobic or hydrophilic layers may be added to attract or repel water or to
9 have the platelet attracted to or repelled by water.

10 The materials used to make the patterned platelets can be organic or inorganic,
11 They may be edible inert materials added to foods or medicines or biodegradable
12 materials so as not to harm the environment.

13 The platelets can be made of high temperature metals so as to survive
14 heating without losing their shapes and can survive fires without the codes breaking
15 down. Heat resistant platelets can be imbedded in a high temperature metal, which in turn
16 is imbedded in another material to survive fires or other high temperature environments.

17 The platelets can be added to carriers for inks and paints and then used in
18 standard ink or paint applications to print on objects or coat objects. The platelets can be
19 made small enough to be used in ink jet printers, pens or other applicators with small
20 apertures without clogging the apertures.

21 A protective layer may be added to the patterned platelet to prevent rust or
22 preventing solvents, acids or bases from destroying the patterned platelets. Various dyes,
23 pigments, holograms, interference patterns, polarizers and other materials may be added
24 to the patterned pigment as shown above to code the patterned pigment for use in tagging
25 object.

26 The patterned platelets can be used in inks for printing documents where security
27 to prevent counterfeiting in important, such as currency, stock certificates, bonds, checks,
28 notes or other commercial paper. These coded inks or patterned platelets can be used on

1 goods such as compact discs, video tapes, software disks, packaging material, coupons,
2 airline tickets, lottery tickets or any number of goods.

3 A further coding means is to print with inks having coded platelets wherein
4 different portions of the object being printed have different coded pigments. For example
5 the top right hand corner may have circular and square platelets of two sizes each platelet
6 having one or more spatially or spectrally distinctive markings on it. The center of the
7 object may have printing with a different ink having platelets with a different code such
8 as all pink triangles in 3 sizes. A line on the left side of the object can have notched
9 circles with three colors on each platelet. Thus, a combination of the shape and area
10 printed and the code of the platelets in each area is another code.

11 The patterned platelets with colors can be used in inks or paints. The inks or
12 paints when applied to objects identify the objects by the codes in the inks or paints and
13 by the position of those codes on the object.

14 Patterned platelets can be encased in plastics, mixed in papers, or other
15 substances, which are later to be authenticated. To authenticate the substances samples
16 are taken, the patterned pigments are separated out or searched for to determine the code
17 and authenticate or identify the product so coded.

18 Patterned platelets can be made from inert materials for consumption in foods,
19 drugs, or drinks.

20 Patterned platelets can be added to substances such as munitions or other
21 chemicals to identify the maker, batch number or other data by the patterned pigment
22 code used.

23 Patterned platelets can be added to solids or liquids to identify them and prevent
24 counterfeit goods made with these tagged materials from being sold.

25 Materials or substances can be made entirely of patterned platelets.

26 To identify the article with coded platelets on or in it or a substance with the
27 coded platelets in it a sample of the platelets must be examined. A means of looking at
28 the platelets can be with a visual aid such as a microscope for a human to evaluate the
29 platelets and determine the code used, or a machine aided observation and detection

1 method can be used. For example if an ink containing the coded platelets is printed on the
2 surface of an object a magnifying device connected to a scanner or charge coupled device
3 can make bit maps or pixelized pictures of the platelets, a pattern recognition program in
4 a computer can then detect the sizes shapes and spatial codes of the patterned platelets.
5 Spectrum analyzers and polarization detectors can identify the polarizations and colors of
6 the patterned platelets and determine the count of each type of platelet to match it to a
7 code. A picture of the bit map or pixelized picture can be viewed by a human on a screen
8 or by printing the image. The information can be stored electronically or on paper.

9 If the platelets are encased in a product the platelets would have to be
10 nondestructively removed from the substance spread out and then examined as shown
11 above. If for example the platelets are in a material which can be crushed and broken up,
12 the platelets can be separated from the material and examined. If the platelets are in a
13 material which can be dissolved or melted at a temperature that will not destroy the
14 platelets, the platelets may be separated by those means. In the case of the platelets being
15 in a substance which is a liquid the platelets may be centrifuged out or the liquid
16 evaporated to obtain the platelets for examination. Many other means of collecting the
17 platelets for examination are possible.

18 As an aid to observing the platelets the platelets may be coated with florescent
19 materials which will aid in observing the platelets when the platelets are exposed to
20 ultraviolet light or some other wavelength. The platelets may alternatively reflect light at
21 a frequency which is easily detected by a charge coupled device.

22 One class of patterned platelets are those made from cholesteric liquid crystals
23 (CLC). CLC is used here as an example and is not meant to limit the substances which
24 patterned platelets can be make from. CLC has been selected as an example because it is
25 easy to make patterned platelets of designated shapes, sizes, colors, polarizations, and
26 having dopants for spectral identification using CLC materials.

27 The properties of cholesteric liquid crystals (CLC) are described in detail in US
28 5,364,557, US 5,599,412, US 5,221,982 and US 5,691,789, which are made a part hereof
29 and incorporated herein by reference, and summarized below:

1 1. CLC films assume a helical configuration with a helical axis
2 perpendicular to the film surface.

3 2. CLC films reflect selectively a portion of the spectrum $\frac{\Delta\lambda}{\Delta\lambda_0} = \frac{\Delta n}{\bar{n}}$
4 where Δn is the birefringence, $\lambda_0 = \bar{n}P$ is the center wavelength, \bar{n} is the average index
5 of refraction and P is the helical pitch.

6 3. The CLC film is a circular polarizer, reflecting left-handed light (P_1) if
7 the helix is left-handed, and reflects right-handed light (P_2) if the helix is right-handed.

8 4. Different colors are produced by varying the helical pitch, P , by means
9 of varying the concentration of chiral dopants.

10 5. The width of the reflected spectral band of the incident
11 electromagnetic radiation can be varied from about 20 nm to 2000 nm in the visible or
12 infrared regions of the spectrum, by creating a pitch that varies across the thickness of the
13 film.

14 6. The CLC color effects are produced by a reflection mechanism.

15 7. Platelet pigments have been made by fragmenting CLC films.

16 8. In order to obtain the CLC phase represented by the helical configuration,
17 alignment means is required which results from special treatment of the substrate and
18 superstrate (optional) and by other external means.

19

20 The CLC platelets according to the above references can have representative
21 spectral characteristics shown in Figs. 4A-D. In Fig. 4A, the red, green and blue
22 reflection spectra of 3 platelets are shown. Depending on the chiral doping and by
23 imparting a non-linear pitch distribution taught in US 5,691,789, it is possible to obtain
24 polarizing white pigments as illustrated in Fig. 4B, reflecting the visible portion of the
25 incident electromagnetic radiation (from 400 nm to 700 nm). It is also possible to obtain
26 invisible pigments which reflect incident electromagnetic radiation having wavelengths
27 longer than 650 nm, as illustrated in Fig. 4C. Absorptive dopants can be added to the

1 CLC material, before or after CLC film formation, which results in a spectral "marking"
2 or "coding" as shown in Fig. 4D. Curve 45 shows that in addition to the primary spectral
3 characteristics, another spectral mark 47 representing the absorption of a dopant. Curve
4 46 shows the two other spectral marks 48 and 49 representing other absorptive dopants.
5 Many rare earth compounds produce narrow absorption characteristics when mixed in
6 host materials. Other dyes or pigments with narrow absorption bands may be used as
7 spectral markings. A plurality of narrow spectral markings can be superimposed on the
8 primary spectrum of the platelets can be used as a novel encoding means of platelets.

9 It is well known in the art that CLC films, holographic film and multi-layer
10 interference films can exhibit polarization characteristics, namely, they can be made to
11 reflect on polarization state P_1 and transmit the other polarization state P_2 . Platelets can
12 be made which reflect P_1 and P_2 , or reflect unpolarized light in the case of notch filter
13 platelet, which is made of a laminate of two identical layers which reflect P_1 and P_2
14 identically.

15 U.S. patents US 5,364,557; 5,599,412; 5,363,315; and 5,242,617; 5,691,789;
16 5,506,704; 4,637,896; 4,388,453; 5,514,296; and the applicant's co-pending applications
17 S/N 8/787,282; 8/891,877; S/N 08/739,467; 8/898,658; 8/739,467; 8/890,320 teach about
18 CLC materials and methods of producing CLC platelets. These patents and patent
19 applications are hereby made a part hereof and incorporated herein by reference.

20 One method producing patterned platelets with CLC materials uses the following
21 steps:

- 22 1. Preparing a CLC mixture comprising components chosen from:
 - 23 a) at least one polymerizable CLC monomer; chosen from the
24 polyester liquid crystal family, specifically acrylate or methacrylate materials
 - 25 b) At least one cross-linkable oligomer chosen from the polysiloxan
26 family
 - 27 c) A cross-linked nematic liquid crystal material with a
 - 28 d) Left-handed or right-handed chiral dopant
 - 29 e) Non-polymerizable low molecular weight nematic liquid crystal

- 1 f) A photo initiator
- 2 g) Appropriate solvent to vary the viscosity
- 3 h) Polymerization inhibitor
- 4 i) Absorptive dopants for spectral markings

5

6 2. Preparation of the surfaces of substrates and optionally superstrates to give
7 them the ability to align the CLC films so as to achieve a helical configuration with a
8 helical axis substantially perpendicular to the CLC film. Substrate materials can be
9 chosen from polyester, PET, PVA, Teflon™, polycarbonate, and other substrates. The
10 surface treatment could be rubbing the surface in one direction to orient the liquid crystal
11 or natural orientation of the substrate surfaces which occur by appropriately stretching
12 said substrates in one direction. The substrates and superstrates can also be prepared
13 such that the surfaces facilitate alignment as well as release of the CLC films. In this
14 case, the substrates and superstrates can be recycled (reused) after removal of the CLC
15 films. It is also possible the surfaces can be coated by a layer of photo-alignable material
16 that preferentially aligns the molecules in response to a linearly polarized UV radiation.

17 3. Pre-heating the CLC mixture to achieve the LC phase and an appropriate
18 viscosity.

19 4. Removing air bubbles, especially oxygen, by placing the mixture in a chamber
20 that enables pumping out (by a vacuum pump) the residual air.

21 5. Coating the substrate with the CLC mixture to achieve a uniform thickness
22 ranging from about 1 micron to about 50 microns. This coating process is accomplished
23 in an atmosphere devoid of oxygen, which is known to inhibit or slow down
24 polymerization. Coating is accomplished by well-known knife-coating, reverse roller
25 coating, die casting, extrusion, and the like. These coaters are equipped with a heating
26 means which keeps the substrate and mixture at an appropriate uniform temperature.

27 6. Laminating a superstrate on the coated film is an optional step.

1 7. A means of providing shearing forces and an annealing means to ensure that
2 the CLC mixture has nearly perfect alignment in the CLC phase. In the CLC mixture
3 may have components which respond to magnetic or electric fields. In this case,
4 auxiliary magnetic as electric alignment means may be optionally provided. It may also
5 be possible to impact ultrasonic forces to substrates, superstrates and CLC layer to
6 provide the alignment forces necessary to achieve the CLC phase.

7 8. Patterning means to define the shape of the CLC platelets on the laminate
8 while simultaneously imparting spatial markings on said platelets. This step is obtained
9 at essentially no additional cost because it is incorporated in the essential UV curing
10 (polymerization or cross-linking) step. This curing step involves irradiating the laminate
11 with an appropriate intensity of UV radiation for a period ranging from several seconds to
12 tens of minutes. A mask is used through which the laminate is exposed with UV radiation
13 for curing purposes. This mask defines the CLC platelet shapes as well as the spatial
14 markings and codes as described above. A series of masks may be used to build up a
15 three dimensional patterned platelet. Masking with additional masks can add spatial
16 markings by additive or subtractive processes.

17 9. Removing the superstrate, if there is one employed, exposing the first CLC
18 film.

19 10. Repeating Steps 5-9 using another CLC mixture which reflects the second
20 polarization state and a another mask to define the polarization encoding pattern
21 described above.

22 11. Removing the second superstrate if Step 10 was necessary.

23 12. Removing the patterned and cured CLC film from the substrate. This is
24 accomplished by means of scraping the film from the substrate facilitated by cooling to
25 liquid CO₂ temperature. Since only the cured films are rigid on the substrate they are
26 easily separated from the non cured CLC materials which can be reused.

27 Obviously many modifications and variations of the present invention are possible
28 in light of the above teachings. It is therefore to be understood that, within the scope of

1 the appended claims, the invention may be practiced otherwise than as specifically
2 described.

3 What is claimed and desired to be secured by Letters Patent of the United States
4 is: